JC10 Rec'd PCT/PTO 14 NOV 2005

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE **CUSTOMER NO. 22,852** (DO/EO/US) ATTORNEY'S DOCKET NUMBER CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 05168.0065 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/531,589 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/000922 January 30, 2004 February 18, 2003 TITLE OF INVENTION ALKALI-RESISTANT COCOON-SHAPED COLLOIDAL SILICA PARTICLE AND PROCESS FOR PRODUCING THE SAME APPLICANT(S) FOR DO/EO/US Toshio NOZAKI Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). 5. is attached hereto (required only if not communicated by the International Bureau) has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. П b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. П 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A Substitute specification. 16. A power of attorney and/or change of address letter. 17. 🔲 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18.
A second copy of the published International Application under 35 U.S.C. 154 (d)(4). 19. A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). 20 \(\text{ \text{\tin}\text{\tetx{\text{\texi}\text{\text{\text{\text{\tetx}\text{\text{\text{\text{\texi}\text{\text{\text{\text{\text{\ti}\xintt{\text{\text{\text{\text{\text{\text{\text{\texit}\xi}}\ Copy of cover page of International Publication No. 冈 Copy of Notification of Missing Requirements. b. П C. f.

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The following fees have been submitted: 21. BASIC NATIONAL FEE (37 CFR 1.492(a)):	d \$0	\$	PTO Use Only
22. Examination fee (37CFR 1.492(c)) If the Written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	d \$0	\$	Only
If the Written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)	\$0		
by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$CAll other situations \$23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$CS SEARCH (27 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Report prepared by an ISA other than the US and provided to the Office or	\$0		
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$	
previously communicated to the US by the IB	\$100 \$400		
TOTAL of 21, 22 and 23 =			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets Extra Sheets Number of each additional 50 or fracti thereof (round up to a whole number			
- 100 = /50=	x \$250.00	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration commencement of the national stage (37 CFR 1.492(h)).	after the date of	\$130.00	
CLAIMS NUMBER FILED NUMBER EXTRA	RATE		
Total Claims - 20 =	x \$50.00	\$	
Independent Claims -3 =	x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+\$360.00	\$	
TOTAL OF ABO	OVE CALCULATIONS =	\$130.00	<u> </u>
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		\$	
	SUBTOTAL =	\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest 1.492(i)).	t priority date (37 CFR		
тс	OTAL NATIONAL FEE =	\$130.00	7
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.	ed by an appropriate	s	
(MHAI 00000034 20001003)	TAL FEES ENCLOSED =	\$130.00	
		Amount to be refunded:	\$
		charged:	\$
a. A check in the amount of \$ 130.00 to cover the above fees is enclosed.			
b. Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.	to cover	the above fees.	
c. The Commissioner is hereby authorized to charge any additional fees which may be require No06-0916 A duplicate copy of this sheet is enclosed.	ed, or credit any overpayr	nent to Deposit Acco	unt
d. Fees are to be charged to a credit card. WARNING: Information on this form may become pon this form. Provide credit card information and authorization on PTO-2038.	public. Credit card infor	mation should not	be included
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to rev to restore the International Application to pending status.	vive (37 CFR 1.137(a) or	(b)) must be filed a	nd granted
	7/2005 ATR	RAN1 00000094	10531589
SEND ALL CORRESPONDENCE TO:	01.50:1017		130.0
Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, N.W. SIGNATURE	11011		
Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.	n/Reg. No. 25,961	R	

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/531,589 Toshio Nozaki 05168.0065.00000

INTERNATIONAL APPLICATION NO.

PCT/JP04/00922

22852 I.A. FILING DATE PRIORITY DATE FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER 01/30/2004 02/18/2003 901 NEW YORK AVENUE, NW. WASHINGTON, DC 20001-4413

CONFIRMATION NO. 1467 371 FORMALITIES LETTER *OC000000017043995*

Date Mailed: 09/19/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/18/2005
- English Translation of the IA filed on 04/18/2005
- Copy of the International Search Report filed on 04/18/2005
- Information Disclosure Statements filed on 07/18/2005
- U.S. Basic National Fees filed on 04/18/2005
- Priority Documents filed on 04/18/2005
- Specification filed on 04/18/2005
- Claims filed on 04/18/2005
- Abstracts filed on 04/18/2005



SEP 2 2 2005

FINNEGAN, HENDERSON, FARABOW, GARRETT AND DUNNER, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:





• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	. INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/531,589	PCT/JP04/00922	05168.0065.00000

FORM PCT/DO/EO/905 (371 Formalities Notice)

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